

TGF β signalling: a complex web in cancer progression

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Abstract | The distortion of growth factor signalling is the most important prerequisite in tumour progression. Transforming growth factor- β (TGF β) signalling regulates tumour progression by a tumour cell-autonomous mechanism or through tumour–stroma interaction, and has either a tumour-suppressing or tumour-promoting function depending on cellular context. Such inherent complexity of TGF β signalling results in arduous, but promising, assignments for developing therapeutic strategies against malignant tumours. As numerous cellular context-dependent factors tightly maintain the balance of TGF β signalling and contribute to the regulation of TGF β -induced cell responses, in this Review we discuss how they maintain the balance of TGF β signalling and how their collapse leads to tumour progression.

Perturbations of transforming growth factor- β (TGF β) signalling are central to tumorigenesis and tumour progression through their effects on cellular process, including cell proliferation and cell invasion¹. TGF β receptor 2 (TGFBR2) and SMAD4 are commonly inactivated through mutation and loss of heterozygosity (LOH) in several types of carcinoma². TGFBR2-inactivating mutations are frequently found in colon cancers that are associated with microsatellite instability (MSI)³. Absence or decreased SMAD4 expression has been found in various cancers, including pancreatic cancer, colorectal cancer, and head and neck cancer⁴. These results provide evidence that the TGF β signalling pathway functions as a tumour suppressor that cancers must bypass for their progression. However, TGF β signalling is also known to function as a tumour promoter. Analyses of clinical tumour samples have revealed that TGF β signalling is strongly implicated in tumour progression. Increased TGF β 1 expression by tumour cells correlates with colorectal and prostate cancer progression^{5,6}. Positive TGF β immunostaining also correlates with metastases in breast, prostate and colorectal cancers^{6–8}. Moreover, TGF β staining is stronger in invading local lymph node metastases than in the primary tumour sites in breast and colorectal cancers^{9,10}. These findings indicate that excessive TGF β stimulation is an indispensable prerequisite for tumour progression. How do these paradoxical outcomes occur?

In addition to the tumour cell-autonomous effects of TGF β signalling, TGF β also has important roles in host–tumour interactions. During tumorigenesis and

tumour progression, surrounding host environments, known as tumour microenvironments, affect the characteristics of tumour cells through diverse mechanisms¹¹. TGF β signalling can suppress inflammation, which can drive tumorigenesis, and can also affect the recognition and destruction of tumour cells through the regulation of immune cell function. In addition, this cytokine has multiple roles in the interaction between stromal fibroblasts and tumour cells. Recent studies have added new aspects to the role of TGF β signalling in tumour–microenvironment interactions: cancer stem cells and their niches.

One of the complex themes in recent years has been the regulation of TGF β signalling in cancer cells. TGF β signalling simultaneously triggers several responses in cancer cells in a cellular context-dependent manner. Meanwhile, hundreds of factors form a complex web that regulates TGF β signalling, and the collapse of such networks leads to a crash of the signalling pathway, resulting in the development and progression of malignant tumours. In this Review, we focus on recent insights into the regulation of TGF β signalling in cancer cells and address how impairment of this pathway in cells and the microenvironment causes tumorigenesis and tumour progression. TGF β also regulates cytokine and chemokine secretion and the resulting effects on the inflammatory tumour microenvironment. However, as the roles of TGF β in the inflammatory tumour microenvironment have been discussed in other reviews^{11,12}, they are not covered here.

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doi:10.1038/nrc2853

At a glance

- Transforming growth factor- β (TGF β) signalling is mediated by TGF β ligands, type 1 and type 2 receptors, and Smad proteins. TGF β also regulates non-Smad pathways.
- TGF β stimulation inhibits cancer cell proliferation in some cellular contexts and promotes it in others. Numerous factors are involved in TGF β -regulated cell proliferation and keep its signalling pathways balanced.
- In addition to perturbation of TGF β signalling, disruption or mutation of regulators of TGF β signalling can lead to a loss of balanced TGF β signalling, resulting in the generation and progression of tumours.
- TGF β signalling in cancer cells has dual roles in the regulation of cell death and proliferation.
- TGF β signalling has crucial roles in the maintenance of self-renewal and tumorigenic activity of glioma-initiating cells and leukaemia-initiating cells, whereas the function of TGF β signalling in breast cancer-initiating cells is controversial.
- TGF β signalling is involved in several cell responses during cancer cell metastasis, and cell type-dependent and context-dependent factors contribute to the regulation of tumour metastasis.
- The TGF β pathway has been targeted for cancer therapy using multiple strategies. Some of them are currently in clinical trials.

Regulation of cell proliferation by TGF β

The effects of TGF β are mediated by three TGF β ligands — TGF β 1, TGF β 2 and TGF β 3 — through TGF β type 1 and type 2 receptors^{13–15}. TGFBR2 is the specific receptor for TGF β ligands. TGF β ligands, which are produced as latent high molecular weight complexes, can bind to TGFBR2 with high affinity once activated by proteolytic cleavage or structural modification of the latent TGF β complexes^{16,17}. Both type 1 and type 2 receptors contain serine/threonine kinase domains in their intracellular portions¹³. Binding of the ligand causes the formation of heterotetrameric active receptor complexes that result in the phosphorylation of the type 1 receptor by the type 2 receptor (FIG. 1). Although activin receptor-like kinase 5 (ALK5; also known as TGFBR1) transduces TGF β signalling in most cell types, ALK1 and other type 1 receptors are also activated in response to TGF β stimulation in certain cells^{18,19}.

The functional receptor complex regulates the activation of downstream Smad and non-Smad pathways²⁰. The phosphorylated type 1 receptor recruits and phosphorylates receptor-regulated Smads (R-Smads). Of the five R-Smads in mammals, the TGFBR2–ALK5 complex activates SMAD2 and SMAD3, whereas the TGFBR2–ALK1 complex activates SMAD1, SMAD5 and SMAD8 (REF. 21). Activated R-Smads form heteromeric complexes with the common partner Smad (co-Smad; SMAD4 in mammals) and translocate into the nucleus¹⁴. As the affinity of the activated Smad complex for the Smad-binding element is insufficient to support association with endogenous promoters of target genes, Smad complexes are associated with other DNA-binding transcription factors to regulate expression. Various families of transcription factors, such as the forkhead, homeobox, zinc-finger, AP1, Ets and basic helix–loop–helix (bHLH) families, are Smad partners^{22–24}. The DNA-binding Smads and their specific DNA-binding cofactors achieve high affinity and selectivity for target promoters with the appropriate binding elements²⁵.

Several transcriptome analyses have shown that TGF β stimulation leads to the immediate activation or repression of expression of several hundred genes in a given cell type, and different subsets of gene responses underlie the various cellular responses to TGF β signalling in a cell type-dependent and cellular context-dependent fashion^{26,27}. To achieve specific cell responses depending on cellular context, the activated Smad pool is shared among many competing partners, each of which is used for a subset of TGF β -responsive genes only. Moreover, the Smad complex recruits co-activators such as p300 and CREB binding protein (CBP)^{28,29} or co-repressors such as retinoblastoma-like 1 (RBL1) (REF. 30) depending on which partner is selected, and this can determine whether the target gene is activated or repressed. A group of genes that are simultaneously regulated by a common Smad cofactor complex is known as a 'synexpression group' (REFS 25,27). Cells of different types or those exposed to different conditions express distinct repertoires of transcriptional partners for Smads, and link their responses to TGF β to their cellular context. Such gene responses orchestrate and maintain cellular homeostasis, and aberrant regulation of such responses can result in various clinical disorders, including cancer. Recent studies have shown that the human homologue of maternal Id-like molecule inhibits TGF β signalling in a synexpression group-selective manner through the abrogation of physical interaction between SMAD2 and SMAD3 and certain bHLH transcription factors²⁵.

In addition to Smads, which are pivotal signal transducers in TGF β signalling, TGF β is also known to regulate non-Smad pathways, including Erk, p38 MAPK, JUN N-terminal kinase (JNK), PI3K–Akt and small GTPases^{31,32}. Non-Smad pathways also control TGF β -mediated tumour cell-autonomous and host–tumour interactions in cancer progression.

Suppression of TGF β -mediated growth inhibition. In carcinoma cells, TGF β stimulation inhibits cell cycle progression in the G1 phase through the induction of cyclin-dependent kinase inhibitors (CDKIs), INK4B and p21 (REFS 33,34). TGF β also represses the expression of MYC, a transcription factor that promotes cell proliferation³⁵. The induction of translation-inhibitory protein 4EBP1 by TGF β stimulation also mediates the anti-proliferative effect of this cytokine³⁶. To date, several genes that antagonize the inhibitory effect of TGF β have been identified.

Among various TGF β antagonists, SKI (also known as c-Ski) and SKIL (also known as SnoN), which are members of the SKI family of nuclear proto-oncogenes³⁷, have been well characterized. SKI was first identified as the transforming protein of avian Sloan-Kettering retrovirus (v-Ski) and the human cellular SKI homologue and closely related SKIL were later cloned on the basis of sequence similarity to v-Ski. Both SKI and SKIL physically interact with SMAD3 and SMAD4, which leads to the displacement of p300 and CBP from Smad complexes and the recruitment of nuclear hormone receptor co-repressor NCOR1 and histone deacetylases (HDACs). SKI also stabilizes inactive Smad complexes

on DNA, which results in the repression of target gene transcription³⁸ (FIG. 2). Suppression of Smad complexes and TGF β -mediated anti-proliferative effects explains the pro-oncogenic roles of SKI and SKIL. In addition to the antagonistic effects on Smads, SKI and SKIL have Smad-independent functions. SKIL triggers premature senescence by binding to the promyelocytic leukaemia (PML) protein³⁹.

The evidence supporting the pro-oncogenic function of SKI and SKIL in mammalian tumorigenesis comes from studies showing that suppression of SKIL expression in human lung or breast cancer cells inhibited tumour growth both *in vitro* and *in vivo*⁴⁰, and that downregulation of SKI in pancreatic cancer cells also reduces tumour growth⁴¹. Moreover, expression of SKI and/or SKIL is increased in many cancer cells and tissues, including those derived from oesophageal squamous cell carcinoma, melanoma, oestrogen receptor⁺ (ER⁺) breast carcinoma, colorectal carcinoma and leukaemia³⁷, suggesting pro-oncogenic properties of SKI and SKIL in various cancer types.

The human ecotropic viral integration site 1 (EVI1) protein contains a zinc finger domain and is transcriptionally activated by several recurrent chromosomal aberrations in acute myeloid leukaemia (AML)⁴².

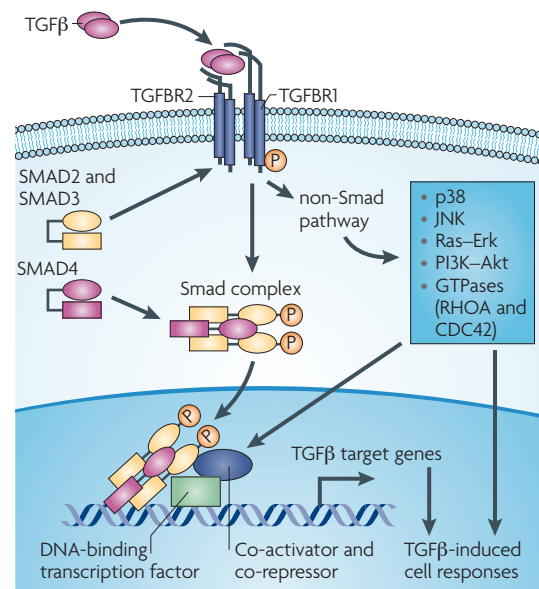


Figure 1 | Intracellular signal transduction of TGF β signalling. Transforming growth factor- β (TGF β) signalling is transduced through Smad and non-Smad pathways. TGF β ligand binds to TGFBR2 and TGFBR1. TGFBR2 phosphorylates (P) TGFBR1, which subsequently phosphorylates and activates SMAD2 and SMAD3. Activated SMAD2 and SMAD3 form a Smad complex with SMAD4 and translocate into the nucleus. In the nucleus, the Smad complex interacts with other DNA-binding transcription factors, and co-activators and co-repressors, binds to the promoter regions of TGF β target genes and regulates the transcription of target genes. TGF β stimulation also activates other signalling cascades in addition to the Smad pathway. TGF β receptors activate p38, JNK, Ras-Erk, PI3K-Akt, and small GTPases such as RHOA and CDC42.

EVI1 interacts with SMAD3 and antagonizes the growth inhibitory effects of TGF β ⁴³. MEL1 (also known as MDS1) was originally identified as a member of the EVI1 gene family⁴⁴. MEL1 and SKI were reported to be aberrantly expressed in gastric cancer cells by chromosomal co-amplification. MEL1 interacts with SKI and inhibits TGF β signalling by stabilizing the inactive SMAD3-SKI complex on the promoter of TGF β target genes⁴⁵. The tumour-promoting effects of MEL1 through the inhibition of tumour-suppressive TGF β signalling in gastric cancer cells were also demonstrated in studies *in vitro* and *in vivo*⁴⁵.

Chromosomal translocations that result in abnormally regulated *BCL6* expression are frequently observed in diffuse large B cell lymphomas and follicular lymphomas, the two most common types of non-Hodgkin lymphoma^{46,47}. Recent studies have shown that *BCL6* interacts with SMAD4 to suppress complex formation between SMAD4 and co-activators, which in turn represses SMAD4-mediated transcription activation and TGF β signalling⁴⁸. In an *in vitro* study, knock down of *BCL6* expression restored TGF β -mediated cell cycle arrest in B lymphoma cells.

Some viral gene products regulate Smad signalling and attenuate growth inhibitory activity of TGF β . Human T cell leukaemia virus type I (HTLV-I) *Tax*, which is implicated in various clinical manifestations in adult T cell leukaemia, disrupts the interaction of Smad complexes with the transcriptional co-activator p300 and contributes to resistance to growth inhibition by TGF β ^{49,50}.

As discussed here, several proto-oncogenes exhibit their tumorigenic activity through the suppression of TGF β signal transduction, indicating pivotal roles of TGF β signalling in tumour progression.

In addition to proto-oncogenes, certain tumour suppressor genes cooperate with TGF β -Smad signalling for growth inhibition, and the loss of such genes can lead to tumour progression. A Runt domain transcription factor, *RUNX3*, is an important tumour suppressor in gastric cancer⁵¹. *RUNX3* is required for TGF β -dependent induction of p21 expression as it binds to the *Cdkn1a* promoter, and along with a Smad complex synergistically activates expression of this cell cycle inhibitor⁵². *RUNX3* is also involved in TGF β -induced apoptosis in gastric epithelial cells through the induction of the pro-apoptotic protein BIM⁵³.

Promotion of cancer cell proliferation by TGF β .

Although TGF β has an anti-proliferative effect on most epithelial cells and haematopoietic cells, it promotes proliferation of certain mesenchymal cells, including smooth muscle cells, through the induction of platelet-derived growth factor (PDGF)⁵⁴. Similarly, TGF β induces the proliferation of certain types of cancer cells, including glioma and osteosarcoma cells, through the induction of *PDGFA* or *PDGFB*^{55,56}. In addition, hypomethylation of CpG islands in the *PDGFB* promoter results in a stronger induction of PDGFB expression by TGF β and is associated with a poor prognosis in patients with glioma⁵⁵. A bHLH transcription factor, *OLIG1*, is associated with SMAD2 and SMAD3 in a TGF β -dependent

manner and synergistically promotes the expression of *PDGFB* in glioma cells²⁵. *In vitro* and *in vivo* growth of glioma cells was greatly attenuated by the suppression of *OLIG1* expression compared with control cells. Among glioma samples, *OLIG1* is highly expressed in glioblastoma (WHO grade IV), anaplastic oligodendroglioma (WHO grade III) and oligodendroglioma (WHO grade II)⁵⁷, suggesting a pro-oncogenic role of *OLIG1* through the synergistic induction of *PDGFB* with *TGFβ* signalling.

Regulation of apoptosis and autophagy by *TGFβ*

In addition to the regulation of the cell cycle, *TGFβ* also limits cancer formation through the activation of the apoptotic pathway. Downstream targets for pro-apoptotic functions of *TGFβ* include death-associated protein kinase (DAPK), growth arrest and DNA damage-inducible 45β (*GADD45β*) and *BIM*^{58–60}. For example, *BIM* deficiency was shown to induce follicular lymphoma and accelerate *MYC*-induced generation of lymphoma in a mouse model⁶¹.

By contrast, *TGFβ* also exhibits anti-apoptotic effects through the induction of differentially expressed in chondrocytes 1 (*DEC1*) under certain conditions⁶². *DEC1* is a bHLH transcription factor that is frequently overexpressed in certain cancers, including breast carcinomas⁶³; a correlation between the expression of *DEC1* and tumour grade in breast cancer has been reported⁶⁴. *TGFβ*-induced *DEC1* expression prevents the apoptosis of mouse mammary carcinoma cells, and a dominant-negative mutant of *DEC1* prevents lung and liver metastasis of breast cancer cells *in vivo*⁶².

TGFβ can also induce autophagy. During autophagy, cells digest their proteins and organelles using the lysosomal degradation pathway, leading to the maintenance of macromolecular synthesis and ATP production. Recent studies have shown that *TGFβ* induces autophagy and growth inhibition in certain hepatocellular

carcinoma and mammary carcinoma cell lines through the transcriptional activation of autophagy (ATG) genes⁶⁵. Autophagy has been described as a cytoprotective mechanism that is induced under conditions of nutrient deprivation⁶⁶. The involvement of autophagy induction in the context of the tumour-suppressing and tumour-promoting effects of *TGFβ* needs to be further studied.

These studies indicate that *TGFβ* signalling in cancer cells has dual roles in the regulation of apoptosis, as well as that of proliferation.

***TGFβ* signalling in tumour-initiating cells**

Recently, specific populations of cells with increased tumour-initiating capacity have been identified in many cancer types and are referred to as cancer stem cells (CSCs) or tumour-initiating cells (TICs)^{67,68}. These highly tumorigenic cells often exhibit stem cell properties such as self-renewal, multipotency and the expression of stem cell markers. It has been suggested that TICs make use of a microenvironment similar to that found in normal stem cell niches for the maintenance of their stem cell-like properties. *TGFβ* signalling was recently identified as a niche signal in the control of haematopoietic stem cells⁶⁹, and so a broader role for *TGFβ* signalling in the maintenance of TICs has been proposed. Recent studies have revealed crucial roles of *TGFβ* signalling in TIC–niche interaction, as well as TIC-autonomous signalling pathways (TABLE 1).

Breast TIC. Tang *et al.*⁷⁰ showed that the suppression of the *TGFβ* pathway increased the size of the putative breast cancer-initiating cell compartment and promoted tumorigenesis by a mechanism that was independent of direct effects on proliferation. They used an immortalized and transformed human breast epithelial cell line, Ca1h, and demonstrated that the introduction of a dominant-negative *TGFBR2* enhanced the proliferation of these cells, although the expression level of p21 was unchanged. They also showed that *TGFβ* stimulation resulted in the loss of stem cell-like properties and the ability to form mammospheres, using transformed human breast epithelial cells. The ability of *TGFβ* to deprive breast cancer-initiating cells of tumorigenic activity was dependent on the downregulation of *ID1*, which is highly expressed during embryogenesis and has been implicated in the regulation of self-renewal and differentiation. These findings suggest that TICs benefit from similar mechanisms that regulate the function of normal stem cells.

By contrast, Mani *et al.*⁷¹ found that *TGFβ* signalling has an important role in the maintenance of stem cell-like properties and tumorigenic activity through the induction of epithelial–mesenchymal transition (EMT). A *CD44*^{high} *CD24*^{low} subpopulation that was isolated from normal and cancerous mammary glands exhibited mesenchymal properties, with decreased expression of E-cadherin and increased expression of mesenchymal markers, including N-cadherin and vimentin. Furthermore, normal and transformed mammary epithelial cells, in which EMT was induced by *TGFβ* stimulation, acquired stem cell-like properties, including

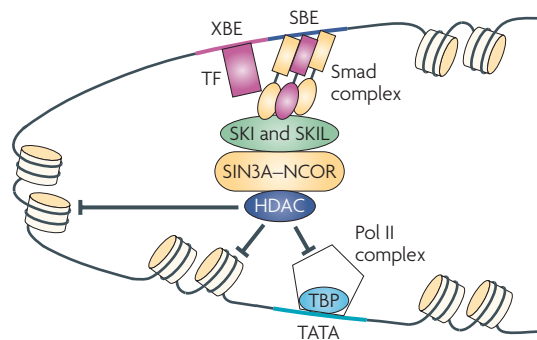


Figure 2 | The function of SKI and SKIL. Smad co-repressors SKI and SKIL bind to the Smad complex and recruit NCOR1–SIN3A and histone deacetylase (HDAC) activity to the target gene promoter. Smad co-repressors also repress Smad signalling through the disruption of the formation of Smads and Smad co-activator complexes. XBEs are binding elements of Smad-binding cofactors. Pol II, RNA polymerase II; SBE, Smad binding element; TBP, TATA binding protein; TF, transcription factor; XBE, X protein binding element.

Table 1 | Roles of TGF β signalling in cancer stem cells

Cancer type	Cells	Function of TGF β	Refs
Breast	Immortalized human mammary epithelial cells and human tumour samples	TGF β treatment reduces the size of the side population (SP) fraction and the ability to form tumours	70
Breast	Immortalized human mammary epithelial cells and human tumour samples	Tumorigenicity of breast cancer stem cells is maintained by TGF β -induced EMT. A CD44 ^{high} and CD24 ^{low} population expresses genes associated with cells that have undergone EMT	71
Glioblastoma stage IV	Human samples	TGF β -induced LIF expression maintains tumorigenicity of glioma stem cells. LIF expression correlates with TGF β 2, Nestin or Musashi expression in glioma tissues	74
Glioblastoma stage IV	Human samples	A TGF β -induced SOX4–SOX2 axis maintains tumorigenicity of glioma stem cells. SOX4 and SOX2, genes that are upregulated by TGF β , are highly expressed in glioma stem cells	75
Chronic myeloid leukaemia (CML)	Mouse CML model and human tumour samples	The TGF β –FOXO pathway maintains stem cell-like properties of leukaemia-initiating cells	85
Prostate	Cell lines from mouse xenografts	Inhibition of TGF β signalling promotes differentiation of SP clones of prostate cancer cells	128
Pancreatic	Cell lines	TGF β responsiveness is greater in SP cells than in main population cells, resulting in enhanced induction of EMT and invasiveness	129

EMT, epithelial–mesenchymal transition; LIF, leukaemia inhibitory factor; TGF β , transforming growth factor- β .

mammosphere-forming ability, the expression of CD44 and low levels of CD24 expression. Transformed mammary epithelial cells with TGF β -induced EMT also showed higher tumorigenic activity *in vivo* and fewer cells were required to initiate tumour formation. These results connect EMT and tumour-initiating properties in cancers of epithelial origin, and suggest that regulating EMT through targeted drugs might be a promising strategy to target TICs. Further studies are needed to clarify the contradictory results of the role of TGF β signalling in the regulation of TICs in breast cancer. In addition, the involvement of the tumour microenvironment in breast cancer TICs should be further investigated.

Glioma-initiating cells. TGF β signalling and bone morphogenetic protein (BMP) signalling have important roles in the regulation of the stem cell properties of neural stem cells⁷². Moreover, these signalling pathways are also involved in the development and progression of brain tumours. These facts have shed some light on the role of TGF β and BMP signalling in the maintenance of brain TICs.

The overexpression of TGF β that is commonly seen in malignant glioma has been variously implicated in glioma cell proliferation, migration, decreased apoptosis and tumour-specific immunosuppression⁷³. Recent reports have unveiled pivotal roles of TGF β signalling in the maintenance of stem cell-like properties and tumorigenic activity of glioma-initiating cells (GICs)^{74,75}. TGF β inhibitors markedly deprived GICs of glioma sphere-forming activity and self-renewal *in vitro* and tumorigenic activity *in vivo*. Inhibition of TGF β signalling also decreased the size of CD133⁺ and Nestin⁺ subpopulations, markers that are associated with cell populations that have stem cell-like properties. These results indicate that

microenvironmental niche-derived or GIC-autonomous TGF β signalling maintains the glioma-initiating abilities of GICs. TGF β mediates this activity through the activation and subsequent direct binding of a Smad complex to the promoter region of the leukaemia inhibitory factor (*LIF*) gene⁷⁴ (FIG. 3). LIF activates the JAK–STAT pathway in GICs, leading to increased GIC tumorigenesis that is secondary to their increased self-renewal and decreased differentiation. Independently of this mechanism, TGF β induces the expression of *SOX2*, a self-renewal gene that helps to maintain stem cell-like properties in embryonic stem cells and neural stem cells^{76–78}. TGF β induces the expression of *SOX4*, and this subsequently induces the expression of *SOX2* (REF. 75).

TGF β signalling thus maintains the stemness property of GICs through at least two independent pathways: TGF β –LIF and TGF β –SOX4–SOX2. GICs, as well as other TICs, are known to be more resistant to chemotherapy and radiotherapy^{79,80}. These recent studies raise the possibility that a TGF β inhibitor could be used in a combination with conventional pharmacological therapies and radiation to make malignant glioma less aggressive⁸¹.

BMP signalling is known to induce the differentiation of embryonic neural progenitor cells into astrocytes⁸². In an analogous fashion, *BMP4* inhibits the proliferation of GICs, deprives them of self-renewal capacity and induces differentiation predominantly into cells with the characteristics of normal mature astrocytes⁸³. Furthermore, *BMP4* reduces glioma growth and associated mortality after intracerebral engraftment of human GICs in mice. These findings suggest that BMP signalling might be a promising therapeutic agent to target GICs and prevent recurrence of malignant glioma through the induction of terminal differentiation of GICs. However, another study demonstrated that BMP-induced differentiation is

impaired in a subpopulation of GICs owing to epigenetic silencing of BMP type IB receptor (*ALK6*), resulting in a differentiation block that contributes to the pathogenesis of malignant glioma⁸⁴. This study demonstrates not only that BMPs function in GICs, but also the importance of tumour-to-tumour variation in GICs.

Leukaemia-initiating cells. TGFβ signalling also has crucial roles in the maintenance of leukaemia-initiating cells (LICs) in chronic myeloid leukaemia (CML). TGFβ regulates AKT activation and FOXO3a localization in LICs. Furthermore, this TGFβ–FOXO pathway maintains the stem cell-like properties of LICs⁸⁵. This study also showed that a combination of TGFβ inhibition, FOXO3a deficiency and imatinib treatment led to the efficient depletion of CML cells *in vivo*. These studies indicate that TGFβ maintains the tumorigenic activity of LICs in a different manner from that of GICs, and suggest that TGFβ maintains the tumorigenic activity of TICs in several types of cancers in a tissue-specific manner.

Targeting the pathways that maintain TICs might ultimately prove to be an effective therapeutic strategy against malignant tumours. However, such pathways could have divergent roles in TIC populations from different patients. This diversity among TICs could reflect both the differences between the oncogenic mutations expressed by the cells and their progeny, and the differences in their origin. These differences will need to be taken into account when developing treatments based on TGFβ and/or BMP signalling for any individual patient.

TGFβ signalling in tumour angiogenesis

The ability of tumour cells to induce new blood vessel formation is essential for progressive tumour growth and blood-borne metastasis. TGFβ can induce a pro-angiogenic environment and stimulates tumour angiogenesis, and increased TGFβ expression has been linked to increased microvessel density in certain tumour types, which also correlates with a poor prognosis⁸⁶. In a xenograft model of prostate cancer, treatment with a TGFβ inhibitor reduced blood vessel formation in the tumour stroma, resulting in the inhibition of tumour angiogenesis and tumour growth⁸⁷.

The overexpression of TGFβ1 in Chinese hamster ovary cells and human prostate cancer cells significantly stimulates tumour growth and angiogenesis when these cells are injected into mice^{88,89}. The mechanism of angiogenesis stimulation by TGFβ signalling includes the induction of key angiogenic factors such as connective tissue growth factor (CTGF) and vascular endothelial growth factor (VEGF) in both epithelial cells and fibroblasts^{90,91}. In addition, TGFβ can induce the expression, secretion and activation of matrix metalloproteinase 2 (MMP2) and MMP9, and downregulate the expression of tissue inhibitor of metalloproteinase (TIMP) in tumour and endothelial cells⁹². These metalloproteinase activities result in the enhancement of migratory and invasive properties of endothelial cells, which are required for tumour angiogenesis.

Conversely, TGFβ regulates the expression of angiogenic factors and angiogenic inhibitors in some cancer cells, and inhibits angiogenesis under certain conditions.

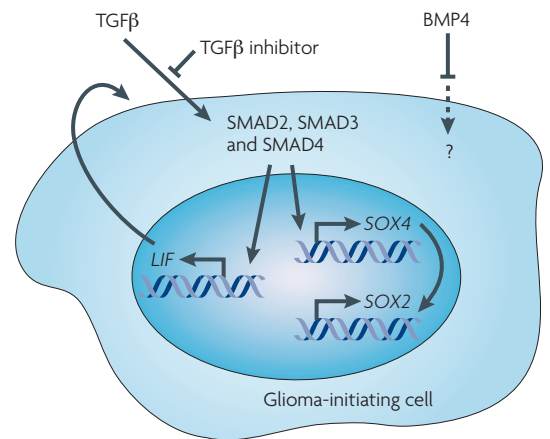


Figure 3 | TGFβ and glioma-initiating cells.

Transforming growth factor-β (TGFβ) signalling maintains the tumorigenicity and stem cell-like properties of glioma-initiating cells through many independent pathways, two of which are the activation of the leukaemia inhibitory factor (LIF) pathway and the induction of the SOX4–SOX2 cascade. Bone morphogenetic protein 4 (BMP4) stimulation inhibits the proliferation of glioma-initiating cells and deprives them of tumorigenic activity. The mechanism of how BMP4 induces differentiation of glioma-initiating cells has not been fully determined.

In pancreatic cancer and diffuse-type gastric cancer, TGFβ induces the production of thrombospondin 1 (TSP1), a potent angiogenic inhibitor, and perturbations of TGFβ signalling result in accelerated angiogenesis and growth of tumours^{93–95}.

It is therefore dependent on the cellular context of tumour cells and endothelial cells whether TGFβ is a pro-angiogenic factor or an anti-angiogenic factor. Key determinants could include not only the status of the cells themselves but also the tumour–microenvironment interactions.

TGFβ signalling in metastasis

During the metastatic process, tumour cells undergo a sequence of migrations to different anatomical compartments: local invasion through the epithelial basement membrane from the primary tumour into the surrounding tissue; transport through the circulation; extravasation from the circulation at the putative metastatic site; adaptation to the new host microenvironment; and growth at the metastatic focus. Several studies in model systems have described a broad range of potential TGFβ effects on distant metastasis.

TGFβ signalling in EMT and mesenchymal–epithelial transition (MET).

To invade normal tissues and metastasize to distant organs, carcinoma cells need to lose polarity and cell–cell contacts and acquire fibroblastic characteristics. This process of EMT is a crucial step for carcinoma cells to metastasize^{96,97}. TGFβ was first described as an inducer of EMT in normal mammary epithelial cells, and several subsequent studies established crucial roles of TGFβ-induced EMT in tumour

progression⁹⁸. A hallmark of EMT is the disintegration and disassembly of cell–cell junctions, including tight junctions and adherens junctions that maintain the integrity of epithelial units.

Tight junctions are mediated by transmembrane claudins, occludins and scaffold proteins such as ZO1. During TGF β -induced EMT, these molecules are down-regulated, leading to the degradation of tight junctions. TGF β also alters cell surface protein complex structure directly through its receptor complex independently of nuclear gene regulation. PAR6 is a key component of epithelial polarity complexes that regulate the assembly of tight junctions⁹⁹. Binding of TGF β ligand to its receptors enables TGFBR2 to phosphorylate PAR6 and degrade RHOA, which mediates the maintenance of junctional stability¹⁰⁰.

Adherens junctions are mediated by homotypic interaction of the extracellular domains of E-cadherin. Several studies have focused on the mechanisms of disintegration of adherens junctions mediated by TGF β — numerous factors, including *SNAI1*, *SNAI2*, HMG2, ZEB1 and ZEB2, repress the expression of E-cadherin¹⁰¹.

One of the key factors in tumour progression, Ras, is involved in the induction of EMT synergistically with TGF β signalling. Mammary epithelial Eph4 cells with hyperactivation of Ras signalling undergo EMT by TGF β stimulation and acquire an invasive phenotype^{102,103}. Ras and PI3K seem to activate Src family tyrosine kinases, resulting in the destabilization of E-cadherin– β -catenin complexes and the disruption of the adherens junctions¹⁰⁴. In addition, the induction of *SNAI1* by TGF β is strongly dependent on cooperation with active Ras signals, and silencing of Ras abolishes *SNAI1* induction by TGF β in some types of cells, including Panc-1 pancreatic cancer cells¹⁰⁵.

Another key pathway in cancer cells, MDM2–p53, also has a crucial role in TGF β -induced EMT. In mouse mammary epithelial cells, TGF β induces expression of *Mdm2*, and increased levels of MDM2 lead to the destabilization of p53, which is a key component of EMT¹⁰⁶. Furthermore, histological analyses of human breast cancer samples demonstrated a strong correlation between TGF β 1-mediated induction of MDM2 and late-stage tumour progression¹⁰⁶.

It is now generally accepted that TGF β functions as a tumour suppressor in the early phase of tumorigenesis, but can be converted to a tumour promoter during cancer progression¹¹. Recent studies have shown that mutation of p53 is involved in this switching of TGF β from a tumour suppressor to a tumour promoter¹⁰⁷. In the early stages of tumorigenesis, TGF β , working as a tumour suppressor, inhibits the proliferation of tumour cells in cooperation with wild-type p53. By contrast, after p53 is mutated, an activated Smad complex and mutant p53 cooperatively abrogate the ability of p53 to downregulate sharp-1 and cyclin G2 expression and to suppress metastasis. In addition, mutation of p53 in non-invasive tumour cells enhances the pro-invasive and migratory effects of TGF β , whereas loss of mutant p53 expression in aggressive tumours impairs their metastatic potential.

In addition to these genetic events, epigenetic silencing is also involved in TGF β -induced EMT. During EMT, the promoter regions of some epithelial marker genes, including that of *CDH1* (encoding E-cadherin), are hypermethylated after TGF β stimulation¹⁰⁸. Although the mechanism through which TGF β induces methylation of these genes has not been fully determined, one of the proposed mechanisms is that TGF- β modulates the binding of maintenance DNA methyltransferase, DNMT1. If proven, this would mean that the TGF β –Smad pathway has a crucial role in the maintenance of epigenetic silencing of genes that regulate EMT.

Although the cytokines and transcription factors involved in EMT have been well characterized, the mechanisms of its reverse reaction, MET, have received little attention. BMP7 was reported to reverse TGF β -induced EMT in a mouse model of chronic renal injury¹⁰⁹. In bone metastasis models, BMP signalling in breast and prostate cancer cells inhibited their metastatic capability by counteracting EMT^{110,111}. Recent studies showed that thyroid transcription factor 1 (TTF1; the product of *NKX2.1*) inhibits EMT in response to TGF β and restores epithelial phenotypes in lung adenocarcinoma cells, leading to the suppression of cell migration and invasion¹¹². TTF1 attenuates autocrine TGF β signalling through the downregulation of TGF β 2 expression and abrogates TGF β -mediated induction of *SNAI1* and *SNAI2*. TTF1 might also suppress Smad-mediated transcription of EMT-inducing molecules, as is suggested by the finding that SMAD3 physically interacts with TTF1 and regulates its transcriptional activity^{113,114}. In a syngenic mouse model, expression of TTF1 in Lewis lung carcinoma cells resulted in tumour growth retardation and an increased survival rate¹¹². Furthermore, TTF1 was reported to be a good prognostic marker in patients with non-small-cell lung cancer¹¹⁵. These results suggest that the modulation of EMT and MET in carcinoma cells could control the invasive properties of carcinoma cells and might be the basis of a new therapeutic strategy for the inhibition of tumour metastasis.

Priming for distant metastasis. A recent study demonstrated that TGF β in the breast cancer microenvironment primes cancer cells for pulmonary metastasis¹¹⁶. Inhibition of TGF β signalling in an ER⁺ human breast cancer cell line decreased the ability of these cells to generate lung metastases when implanted in mice. Central to this process was the vascular remodelling gene, angiopoietin-like 4 (*ANGPTL4*), which was identified as a target of TGF β signalling in multiple breast cancer samples. Tumour cell-derived *ANGPTL4* disrupted vascular endothelial cell–cell junctions, increased the permeability of lung capillaries and facilitated the transendothelial passage of cancer cells. This study also showed that a TGF β gene response signature that included *ANGPTL4* upregulation was associated with lung metastases but not bone metastases. The reason why the signature did not provide an advantage for seeding to bone could be explained by the function of *ANGPTL4*. The capillary walls in the bone marrow are already fenestrated to facilitate the passage of haematopoietic cells. Therefore, tumour cells with an

enhanced ability to breach tight vascular barriers would gain little advantage in colonizing bone. This new model suggests that TGFβ can function at a distance: the induction of cytokine ANGPTL4 by TGFβ enables the actions of TGFβ to project throughout the body, enhancing the affect of TGFβ signalling on distant metastasis.

Metastatic colonization. Once distant metastases have developed, local production of TGFβ can profoundly affect the growth of these lesions. Recent studies have uncovered a prominent role for TGFβ in bone metastases, a common site of dissemination for breast and prostate cancers. The bone microenvironment consists of a rich store of multiple growth factors, including TGFβ. Metastatic cells that reach the bone activate osteoclasts that degrade the bone matrix and release the stored TGFβ. TGFβ then stimulates the cancer cells to release several osteolytic cytokines, one of which is parathyroid hormone-related protein (PTHrP)^{117,118}. TGFβ induces PTHrP secretion, which in turn stimulates the production of RANK ligand (RANKL) in osteoblasts to promote the differentiation of osteoclast precursors and bone resorption¹¹⁹. Additional mediators that influence TGFβ-mediated bone metastases include a set of genes that modulate bone metastasis in a mouse model in which mice were inoculated with human ER⁺ breast cancer cells¹²⁰. Within this gene signature are osteolytic genes *CTGF* and interleukin-11 (*IL11*) that are induced by TGFβ–Smad signalling. CTGF mediates both angiogenesis and invasion, whereas IL-11 stimulates the expression of osteoclastogenic factors RANKL and granulocyte-macrophage colony stimulating factor in osteoblasts¹²¹. In mouse models of human breast cancer cell metastasis, oral administration of a TGFBR1 kinase inhibitor significantly reduced both the incidence and the extent of bone metastasis through the downregulation of PTHrP and IL-11 secreted by breast cancer cells^{122–124}.

The metastasis-promoting effects of TGFβ discussed here could, at least partially, explain the tumour-promoting roles of TGFβ in later stages of cancer progression¹¹.

On this basis, TGFβ inhibitors should be promising therapeutic agents for the suppression of metastases seeded by aggressive cancers, although their effects on other cell responses induced by TGFβ should also be carefully considered.

Future directions

TGFβ can function as a tumour-suppressing or tumour-promoting factor in cancer progression^{11,125}. It is clear that a large number of cellular context-dependent factors contribute to the dynamic regulatory roles of TGFβ signalling. Under physiological conditions, TGFβ signalling is tightly regulated by numerous factors. Distortion of this balance could alter the characteristics of certain cells and induce the transformation from normal cells to cancer cells. The ‘normalization’ of TGFβ signalling is one of the key strategies for the development of new anticancer drugs.

The TGFβ pathway has been targeted using multiple strategies, including small-molecule inhibitors of the TGFBR1 kinase domain, TGFβ-specific neutralizing antibodies and antisense compounds¹²⁶. Among them, a soluble antisense oligonucleotide that is specific for human *TGFβ2* mRNA, AP12009, has been used to target the TGFβ pathway *in vivo* and is currently in clinical trials for human cancers^{81,127}. Other methods for targeting TGFβ signalling should enter pre-clinical and clinical trials in the future. To use modulators of TGFβ signalling in clinical practice, we will need to consider the tumour microenvironment, as it is one of the key determinants of cellular context for tumour cells¹¹. Furthermore, recent studies have added new aspects to the role of TGFβ signalling in the tumour–microenvironment interaction: cancer stem cells and their niches. As discussed above, TGFβ can induce both cancer stem cell self-renewal and differentiation, depending on tumour type and other factors. Because of such complexity, TGFβ-based therapeutic strategies must be carefully considered in each case. In addition, potentially deleterious effects of these strategies in normal tissues need to be considered.

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Acknowledgements

We apologize to those colleagues whose publications could not be cited because of space limitations. Work from the authors' laboratory is supported by KAKENHI (Grant-in-Aid for Scientific Research) on Priority Areas 'New strategies for cancer therapy based on advancement of basic research' and the Global COE Program (Integrative Life Science Based on the Study of Biosignalling Mechanisms) from the Ministry of Education, Culture, Sports, Science, and Technology of Japan.

Competing interests statement

The authors declare no competing financial interests.

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